

FY 2021 Annual Disciplinary Report for the University of Pennsylvania				
Incident Type (by respondent)	Academic Year 2017-18	Academic Year 2018-19	Academic Year 2019-20^	Academic Year 2020-21^^
Academic Integrity (total)	210	208	244	465
Undergraduate	167	150	188	389
Graduate/Professional	43	58	58	65
Non-Degree	0	0	0	11
Student Conduct (total)	88	166	77	519
Undergraduate	71	152	69	494
Graduate/Professional	18	14	8	25
Academic Integrity and Student Conduct (total)	0	1	1	0
Undergraduate	0	1	1	0
Graduate/Professional	0	0	0	0
Concerns Resolved via Restorative Practices (Non-Case Related)*	16	24	174	159
Group Cases (Student Organizations/Fraternities/etc.)**	3	7	7	5
TOTAL:	317	406	503	1148
*During FY20, the OSC hired a full-time <i>Associate Director of Restorative Practices</i> who collaborates with campus partners to resolve student conflicts as appropriate. See participant numbers below under "Sanctions: Student Conduct."				
**Group Cases include several individuals but are reported as one respondent.				
^On March 14, 2020 the University shifted to remote operation due to the COVID-19 pandemic.				
^^The majority of classes were offered remotely for the duration of the 2020-21 academic year due to the COVID-19 pandemic. Additionally, violations of the COVID Student Compact were handled by OSC.				
Case Investigations: Academic Integrity***				
Plagiarism	104	73	51	39
Unauthorized collaboration/use of another person's work	44	34	63	117
Misconduct during an exam	33	12	15	24
Submission of false data	3	1	0	1
Falsification of grades or transcripts	1	0	1	1

Other academic violation	6	3	2	1
Altering of exam/paper for re-grade	5	3	2	0
Misrepresentation of academic records	1	0	0	0
Provided information to another student	0	0	0	0
Cheating	24	52	89	222
Fabrication	1	0	0	0
Multiple submission	1	1	2	1
Facilitating academic dishonesty	28	34	13	25
Unfair advantage over fellow students	4	3	5	31
Case Investigations: Student Conduct***				
Alcohol violation: First offense	32	4	0	5
Alcohol violation: Other	2	18	22	29
Assault	5	13	0	0
Attempted theft	0	0	0	0
Burglary	0	0	0	0
Disorderly conduct	27	11	7	6
Drug violation	1	2	0	0
Fire code violation	9	2	0	8
Forgery	0	0	0	0
Fraud	0	2	0	0
Fraudulent use of Penn ID	3	0	0	0
Harassment (not sexual harassment which is listed separately below)	0	2	0	0
Indecent exposure	0	0	0	0
Malicious mischief	1	0	0	2
Miscellaneous security violations	0	0	0	0
Disturbance/investigation of person	0	0	0	0
Relationship violence	7	2	0	5
Retail theft/shoplifting	1	1	0	0
Sexual harassment	1	4	4	5
Sexual violence	9	6	6	10
Stalking	0	0	0	4
Theft	2	2	0	0
Trespassing	1	3	2	0
Vandalism	2	5	1	0

Other conduct violation	17	60	28	461^^^
Propulsion of object	0	0	0	0
Receiving stolen property	0	0	0	0
Use or possession of fake ID card	1	12	0	0
Recklessly endangering another person	1	0	0	0
Hazing	0	3	5	14
Terroristic threats	0	0	0	0
Use or possession of air guns/firearms/dangerous articles	0	0	0	0
Threats	0	6	0	0
Violation of safety regulations	0	0	0	1
Dangerous articles in residences	0	0	0	0
Noise violation	0	0	0	0
Threats with dangerous article	0	0	0	0
Computer violation/unethical behavior in the digital environment	11	27	0	2
Violation of agreement	1	0	4	0
Misrepresentation of status to the University	0	0	0	0
***Number of case investigations does not equal the number of respondents because some cases involve more than one type of misconduct.				
^^^Other conduct violations in 20-21 include violations of the COVID Student Compact that were referred to OSC by the COVID Review Panel.				
Sanctions: Academic Integrity****				
Academic support	86	118	57	60
Apology	5	15	0	0
Counseling	12	10	11	5
Decision-Making Tree*****	n/a	n/a	47	81
Essay	90	105	55	44
Meet with appropriate person related to charge	1	0	1	2
Expulsion	0	0	0	0
Notation on transcript	2	0	0	0
Other (specialized)	2	9	1	4
Probation	13	19	34	93
Reprimand	82	77	53	58
Suspension	6	9	7	5

Suspension not imposed	26	32	12	44
Warning	34	75	32	27
Withdraw permanently from the University	3	1	0	0
Withheld/Delayed Degree	5	4	4	3
Sanctions: Student Conduct****				
<i>Resolved Through Restorative Practices^^^</i>	<i>n/a</i>	<i>n/a</i>	42	45
Alcohol and drug education/evaluation	45	11	2	0
Alcohol/drug fine	0	0	0	0
Apology	58	6	0	0
CAPS substance abuse evaluation	0	0	3	0
Community services	41	28	0	12
Counseling	9	7	5	2
Essay	9	40	5	33
Expulsion	0	0	0	0
File sharing educational module	11	27	0	0
File sharing fine	0	0	0	0
Meet with appropriate person related to charge	2	1	0	0
No contact	4	12	8	3
Notation on transcript	5	0	0	0
Other (specialized)	51	7	6	100
Probation	4	7	6	97
Reprimand	61	27	3	43
Restitution	0	0	0	0
Suspension	3	1	2	1
Suspension not imposed	1	2	6	7
Warning	12	27	3	32
Withdraw permanently from the University	0	1	0	0
Withhold/Delay Degree	4	1	0	0
****Number of Sanctions does not equal the number of respondents because some cases result in more than one type of sanction.				
****The Decision-Making Tree is a new educational sanction that offers a structured learning opportunity in lieu of essay writing.				
^^^New category as of FY20. Restorative Practices involve consequences that are determined during the process rather than the				

student disciplinary system. PLEASE NOTE: OSC did not use Restorative Practices to resolve cases related to the COVID Student Compact.				
Mode of Resolution of Cases				
Signed Agreement (Includes signed informal resolution)	254	228	168	900
Resolved by Hearing	6	3	2	3
No formal disciplinary action/no policy violation	20	57	13	65
Restorative Practices (Total Case-Resolution and Non-Case Resolution)	8	77	216	159
Unresolved*****	10	14	10	21
*****Unresolved may mean that a student is no longer a member of the Penn community, that the investigation is ongoing, or that a determination has been made, but no agreement has been reached.				