<table>
<thead>
<tr>
<th>Incident Type (by respondents)</th>
<th>Academic Year 2012-2013</th>
<th>Academic Year 2013-2014</th>
<th>Academic Year 2014-2015</th>
<th>Academic Year 2015-2016</th>
</tr>
</thead>
<tbody>
<tr>
<td>Academic Integrity (total)</td>
<td>148</td>
<td>169</td>
<td>185</td>
<td>201</td>
</tr>
<tr>
<td>Undergraduate</td>
<td>96</td>
<td>127</td>
<td>151</td>
<td>149</td>
</tr>
<tr>
<td>Graduate/Professional</td>
<td>52</td>
<td>33</td>
<td>34</td>
<td>52</td>
</tr>
<tr>
<td>Student Conduct (total)</td>
<td>141</td>
<td>162</td>
<td>177</td>
<td>203</td>
</tr>
<tr>
<td>Undergraduate</td>
<td>118</td>
<td>134</td>
<td>158</td>
<td>175</td>
</tr>
<tr>
<td>Graduate/Professional</td>
<td>23</td>
<td>28</td>
<td>19</td>
<td>20</td>
</tr>
<tr>
<td>Academic Integrity and Student Conduct (total)</td>
<td>7</td>
<td>2</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Undergraduate</td>
<td>4</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Graduate/Professional</td>
<td>3</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Noise violation</td>
<td>18</td>
<td>22</td>
<td>26</td>
<td>12</td>
</tr>
<tr>
<td>Group Cases (Student Organizations/ Fraternities/etc.)*</td>
<td>5</td>
<td>3</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>TOTAL:</td>
<td>319</td>
<td>349</td>
<td>393</td>
<td>420</td>
</tr>
<tr>
<td>*Group Cases include several individuals but are being counted as one respondent.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Case Investigations: Academic Integrity**

- Plagiarism: 84 56 77 74
- Unauthorized collaboration/ use of performance of another person's work: 32 78 67 105
- Misconduct during an exam: 11 10 3 31
- Submission of false data: 1 0 0 0
- Fabrication of grades or transcripts: 1 0 0 0
- Other academic violation: 0 5 9 0
- Altering of exam/paper for re-grade: 0 5 3 3
- Misrepresentation of academic records: 3 3 1 0
- Provided information to another student: 10 7 2 6
- Cheating: 16 23 26 42
- Fabrication: 2 3 3 1
- Multiples submission: 2 1 1 10
- Facilitating academic dishonesty: 17 25 15 16
- Unfair advantage over fellow students: 4 7 7 7

### Case Investigations: Student Conduct**

- Alcohol violation: First offense: 20 42 15 12
- Alcohol violation: Other: 7 2 4 21
- Assault: 9 19 8 1
- Attempted theft: 0 0 3 0
- Burglary: 1 1 0 0
- Criminal mischief: 1 3 0 0
- Disorderly conduct: 37 14 36 54
- Drug violation: 9 15 6 3
- Fire code violation: 11 2 15 3
- Forger: 2 0 2 0
- Fraudulent use of Penn ID: 0 0 0 1
- Harassment: 4 8 2 1
- Sexual Violence: 9 8 7 9
- Indecent exposure: 3 3 3 0
- Malicious mischief: 0 0 0 0
- Miscellaneous security violations: 0 0 0 0
- Disturbance/investigation of person: 0 0 2 0
- Relationship Violence: 0 0 10 4
- Retail theft/burglary: 2 5 6 1
- Stalking: 5 4 5 3
- Theft: 3 3 2 0
- Trespassing: 1 2 1 0
- Vandalism: 8 2 4 5
- Other conduct violation: 2 8 2 2
- Propulsion of object: 12 0 2 0
- Receiving stolen property: 0 0 0 0
- Use or possession of fake ID card: 0 0 0 0
- Recklessly endangering another person: 0 0 0 0
- Haz ing: 5 5 13 3
- Terroristic threats: 0 0 1 1
- Ethnic intimidation: 0 0 0 0
- Use or possession of gun/ firearms/dangerous articles: 1 1 0 0
- Threats: 0 1 2 1
- Violation of safety regulations: 1 2 3 1
- Dangerous articles in residences: 0 0 0 0
- Possession of stolen property: 0 0 0 0
- Misappropriation of funds: 0 0 0 0
- Noise violation: 14 2 1 6

### Incident Type (by respondents) | Academic Year 2012-2013 | Academic Year 2013-2014 | Academic Year 2014-2015 | Academic Year 2015-2016 |
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Threats with dangerous article</td>
<td>14</td>
<td>14</td>
<td>30</td>
<td>79</td>
</tr>
<tr>
<td>Computer violation/violation of ethical behavior in the digital environment</td>
<td>47</td>
<td>38</td>
<td>60</td>
<td>92</td>
</tr>
<tr>
<td>Violation of agreement</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Misrepresentation of status to the University</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>False identify</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Sexual harassment</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
</tbody>
</table>

**Number of Case Investigations does not equal the number of respondents because some cases involve more than one type of misconduct.

***Sexual Violence, Stalking and Relationship Violence were specifically outlined in the University’s new policy in June 2014 under the now Sexual Violence, Relationship Violence and Stalking Policy. Prior handlings of related cases were all categorized under indecent/sexual assault and harassment.

### Sanctions: Academic Integrity***

- Academic support: 14 14 30 79
- Apology: 1 1 5 20
- Community service: 0 0 0 0
- Counseling: 0 0 0 0
- Deferred dismissal: 7 7 11 11
- Essay: 22 53 58 106
- Withhold/delay diploma 1 1 0 0

### Sanctions: Student Conduct****

- Academic support: 0 1 0 0
- Alcohol and drug education/evaluation: 18 14 17 9
- Alcohol/drug fine: 6 17 2 0
- Apology: 12 4 5 0
- CAPS substance abuse evaluation: 3 3 2 1
- Community service: 17 12 11 28
- Counseling: 14 7 9 8
- Deferred dismissal: 0 0 1 0
- Essay: 3 8 11 23
- Expulsion: 5 0 1 0
- File sharing educational module: 28 3 58 92
- File sharing fine: 20 0 0 0
- Meat with appropriate person related to charge: 2 0 0 0
- No contact: 0 0 0 0
- Refusal on transcript: 0 0 0 0
- Other (specialized): 10 22 3 1
- Probation: 16 10 15 14
- Suspension: 53 54 17 28
- Restitution: 4 4 4 5
- Suspension not imposed: 6 5 4 7
- Suspension not imposed and imposed: 2 1 5 1
- Warning: 5 5 30 11
- Withdraw permanently from the university: 3 3 0 0
- Withhold/delay diploma: 0 0 4 5

### Sanctions: Academic Integrity****

- Academic support: 14 14 30 79
- Apology: 1 1 5 20
- Community service: 0 0 0 0
- Counseling: 0 0 0 0
- Deferred dismissal: 7 7 11 11
- Essay: 22 53 58 106
- Expulsion: 0 0 2 1
- Reprimand: 24 29 46 41
- Restitution: 0 0 0 0
- Suspension: 19 5 5 10
- Suspension not imposed: 15 29 23 28
- Warning: 14 12 2 4
- Withdraw permanently from the university: 3 3 0 0
- Withhold/delay diploma: 0 0 4 5

### Mode of Resolution of Cases

- No formal disciplinary action/unfounded complaint or informal resolution: 87 88 39 29
- Mediation: 9 11 13 12
- Required Educational Module (Electronic File sharing ONLY): 58 91
- Unresolved: 12 46 43 15

*****Unresolved can mean that a student is no longer a member of the Penn community, that the investigation is on-going, or that a conclusion has been reached but an agreement has not been reached.

# The OSC has created an education-based response to File sharing cases.